

# **Overcoming Resistance to Stopping Tobacco Use: A Motivational Approach**

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# Outline

- **It is Important to Intervene with those who use tobacco**
- **It is sometimes difficult to intervene effectively**
- **A patient centered approach like Motivational Interviewing can improve our effectiveness**

**“Stopping smoking...may have a greater effect on reducing the risk of mortality among patients with CHD who smoke than the effect of any other intervention or treatment.”**

Critchley JA, Capewell S *JAMA*;2003;290:86-97

## A Powerful Intervention...

<i>Intervention</i>	<i>Reduction in Mortality</i>
<i>Smoking Cessation</i>	36%
<i>Statin Therapy</i>	29%
<i>Beta-Blockers</i>	23%
<i>ACE Inhibitors</i>	23%
<i>Aspirin</i>	15%

Critchley JA, Capewell S. *JAMA*;2003;290:86-97

# Projected Outcomes of Preventive Interventions

<u>Intervention</u>	<u>Lives Saved</u>	<u>NNT</u>
• <b>Smoking Cessation</b>	<b>328,400</b>	<b>9</b>
• Lipid Lowering	132,777	34
• BP Control	63,282	31
• ACE Inhibitors (CHF)	11,000	N/A
• $\beta$ Blockers (MI)	17,023	120
• ASA (MI)	10,365	143
• Coumadin (A.Fib)	3,418	2,014

Woolf AH. *JAMA* 1999;282:2358-65



# Cost Effectiveness

*per life-year saved:*

- *Smoking Cessation*                      \$ 2,000 – 6,000
- *R<sub>x</sub> of Hypertension*                      \$ 9,000 – 26,000
- *R<sub>x</sub> of Hyperlipidemia*                      \$ 50,000 – 196,000

Benowitz NL *Prog Cardiovasc Dis* 2003;46:91-111

# The Patient

**“I am just too stressed right now”**

**“I have cut down”**

**“I only smoke outside”**

**“I enjoy smoking and I am not going to stop”**

**“You are always on my case about this”**

# The Provider

- “If you would just put some more effort into this I know you could stop”.
- “Let’s get you started on the patch today”.
- “Continuing to smoke is the worst thing you could be doing right now”.
- “You know you need to stop smoking, don’t you”?



# What is the problem?

- The provider is trying to help and the patient doesn't seem interested
- The topics seems doomed from the start as the patient seems defensive
- The provider is concerned and the patient isn't listening
- What is the problem?

# Provider Feelings

## FEELINGS

- Anger
- Frustration
- Helpless
- Cynical

## RESPONSES

- Argue
- Lecture
- Defensive
- Withdrawal

# Physician Demographics



*“..most physicians are non-smokers or never-smokers...and have little insight into, or recollections of, the realities of cessation”*

# Requires an Attitudinal Change by Provider and Patient

- “Lifestyle Decision”
- “Habit”
- “Behavioral Choice”
- “Can change if they want to...”

EVERY DOCTOR IN PRIVATE PRACTICE WAS ASKED!

Family doctors, surgeons, diagnosticians, nose and throat specialists ... doctors in every branch of medicine were asked: "What cigarette do you smoke, Doctor?"

Three nationally known independent research organizations did the asking.

The answers come in by the thousands. Actual statements from doctors themselves. Figures were checked and re-checked! The results? Camels ... convincingly!

According to this recent Nationwide survey:

## MORE DOCTORS SMOKE CAMELS THAN ANY OTHER CIGARETTE!

This is no casual claim. It's an actual fact. Based on the statements of doctors themselves to three nationally known independent research organizations.

THE QUESTION was very simple. One that you...any smoker...might ask a doctor: "What cigarette do you smoke, Doctor?"


After all, doctors are human too. Like you, they smoke for pleasure. Their taste, like yours, enjoys the pleasing flavor of costlier tobaccos. Their throats too appreciate a cool mildness.

And more doctors named Camels than any other cigarette!

If you are a Camel smoker, this preference for Camels among physicians and surgeons will not surprise you. But if you are not now smoking Camels, by all means try them. Compare them critically in your "T-Zone" (see right).

THE "T-ZONE" TEST WILL TELL YOU

The "T-Zone"—T for taste and T for throat—is your own proving ground for any cigarette. Only your taste and throat can decide which cigarette taste best to you ... how it affects your throat. On the basis of the experience of many, many millions of smokers, we believe Camels will suit your "T-Zone."



*“...a fundamental misunderstanding of the nature of the problem...and their role in addressing it...”*

# **Why does it seem so difficult to stop tobacco?**

- **“The Uncle Charlie Effect” -- overcoming low self-esteem**
- **The cigarette is the almost perfect drug delivery device**
- **Structural and Functional Changes occur in the brain**
- **Treatment planning by provider and patient do not recognize the difficulties**

**It seems difficult...because it is!**

# Fundamental Treatment Components

Addiction Concepts

Pharmacotherapy

Cognitive/Behavioral

Relapse prevention

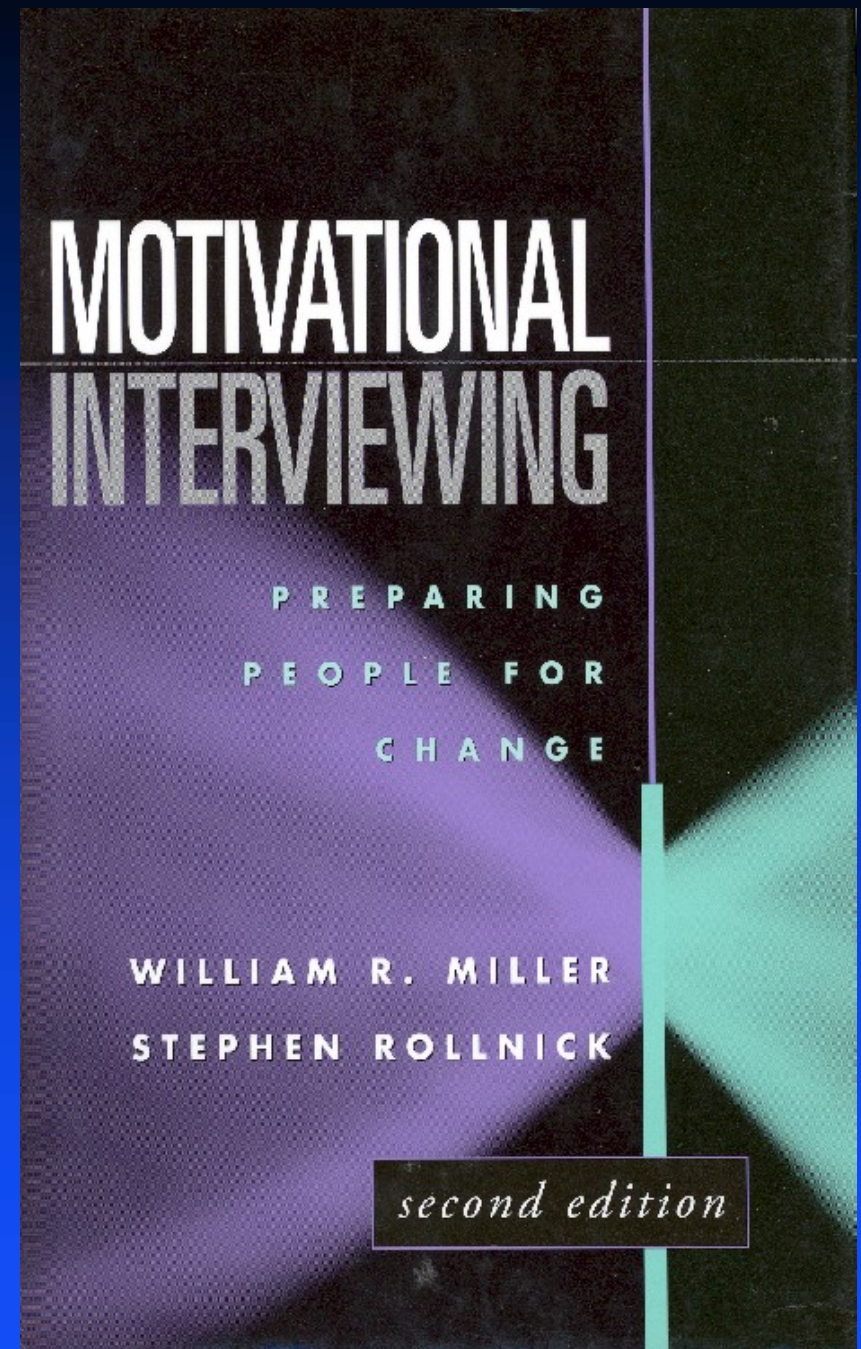




# Motivational Interviewing: Preparing People to Change Addictive Behavior

William R. Miller  
Stephen Rollnick

*Guilford Press 2002*  
*2nd edition*





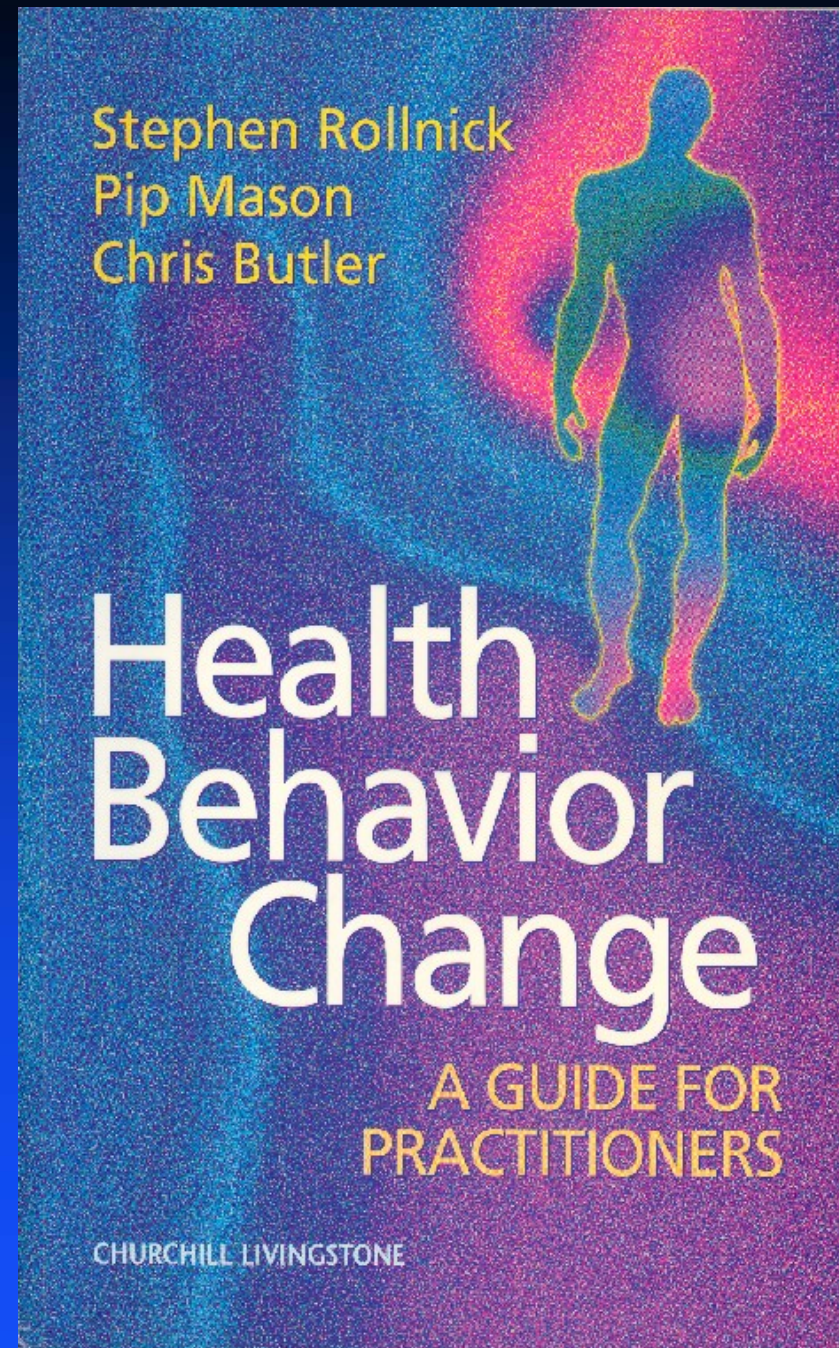
# Health Behavior Change: A Guide for Practitioners

Stephen Rollnick

Pip Mason

Chris Butler

*Churchill Livingstone*  
1999

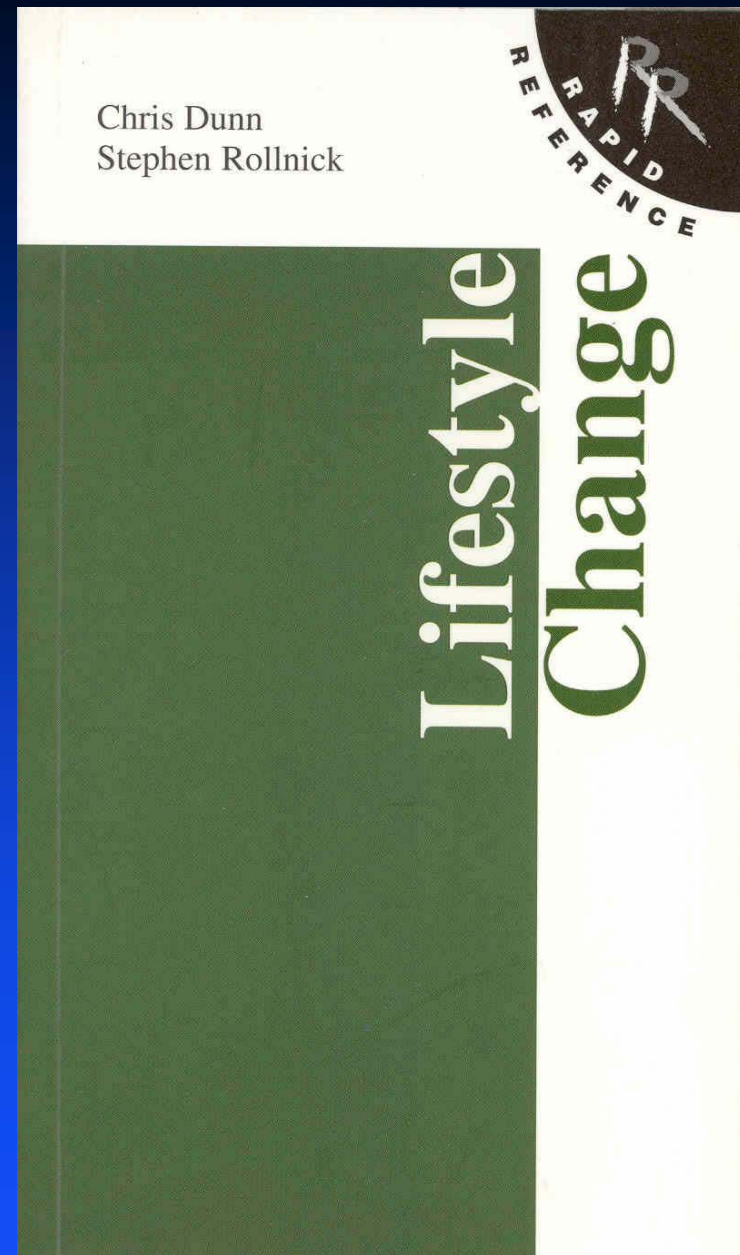




# Lifestyle Change

**Chris Dunn  
Stephen Rollnick**

***Mosby, 2003***



# **Motivation is Fundamental to Change**

**= “Ready, Willing and Able”**

**Priority (Ready)**

**Important (Willing)**

**Confident (Able)**

# **Variables Associated with Higher Abstinence Rates**

- **High motivation**
- **Ready to Change**
- **Moderate to High Self-Efficacy**
- **Supportive Social Network**

**Motivation to  
change  
is an  
intrapersonal  
process...**



# ...influenced by interpersonal relationships...



- **Positively**
  - Expressing empathy
  - Offering encouragement
- **Negatively**
  - Lecturing
  - Being judgmental

# What Each Brings

## PROVIDER

- Medical Information
- Statistics
- Research Results
- Experience

## PATIENT

- Unique Circumstances
- Values
- Life priorities

# **Decision-Making**

**Paternalistic**

**Physician-as-agent**

**Shared decision making**

**Informed decision making**

**Consumerism**

# **It's HOW we approach patients about their tobacco use**



In the “Spirit” of  
gentle guidance



# “Spirit” of Motivational Interviewing

- **Collaboration**

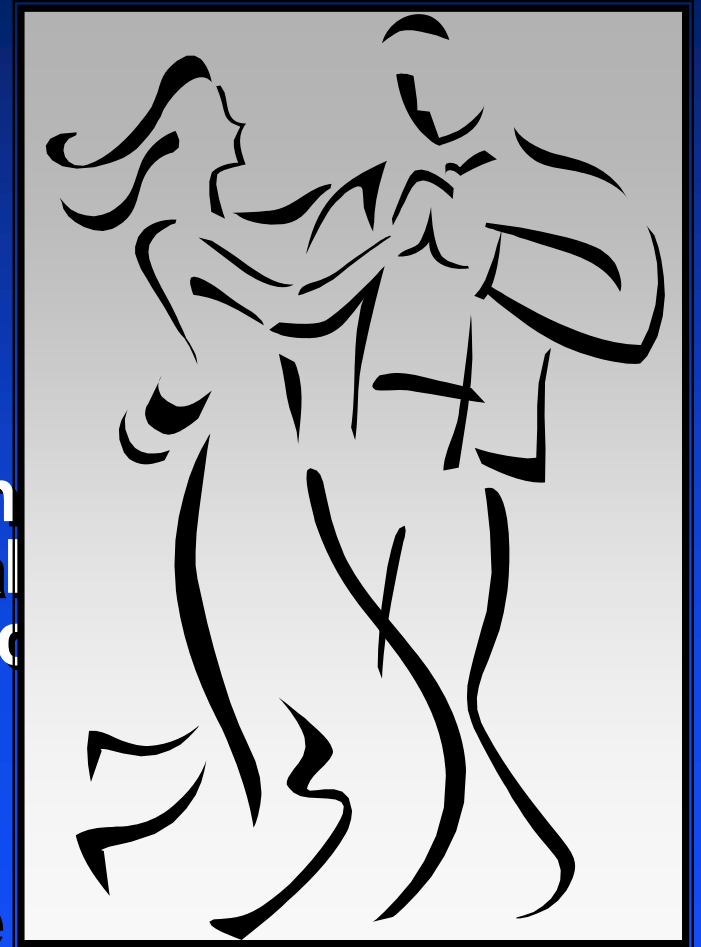
- The patient is the expert on the patient
- Honor their perspective

- **Evocation**

- Patient has the resources and motivation within them to make change. We build self-efficacy

- **Autonomy**

- Patient has right to choose, and the capacity to decide



# **Four Guiding Principles of Motivational Interviewing (MI)**

- **Express empathy**
- **Develop discrepancy**
- **Roll with resistance**
- **Support self- efficacy**

# **A Patient-Centered Approach**

- **To sense that what the patient thinks and feels about their behavior is being heard**
- **To receive affirmation for where the patient is at with making a change**
- **To be engaged in a collaborative effort with their provider that maximizes personal choice and control**

# **ASK**

**Every patient**

**Every visit**

**“Vital Sign”**

**Establish the Visit’s Agenda**

**“We will be sure to address the things that are on your list today, and I want to spend a couple of minutes talking about your tobacco use, too, if that is OK with you”.**

**“Are you ready  
to stop smoking?”**

READY

**“I AM NOT SURE!”**

NOT READY



**“AMBIVALENCE”**

# **Discrepancy/Ambivalence make change possible**

- **When a behavior comes into conflict with a deeply held value, it is usually the behavior that changes**
  - **without some discrepancy, there is no ambivalence**
  - **the first step towards change is to become ambivalent**
  - **explore ambivalence, resolve in direction of change**

# Ready: Resolving Ambivalence

- Ambivalence refers to feeling two ways about a behavior
- This is common and where many patients get stuck
- Ambivalence is normal

Smoking helps me relax,  
though I know it  
isn't good for me



**“The Candy Store”**  
**“The Rock and the Hard Place”**  
**“The Fatal Attraction”**

# Ambivalence

- **Ambivalence refers to feeling two ways about a behavior**
- **Normalize ambivalence**
- **Help explore and resolve ambivalence towards change**
  - **“You realize the health consequences, it’s quitting that troubles you”**

“Smoking calms me and yet,  
I know it is bad for my health.  
I just can’t imagine  
life without cigarettes”





# Why Do People Change?

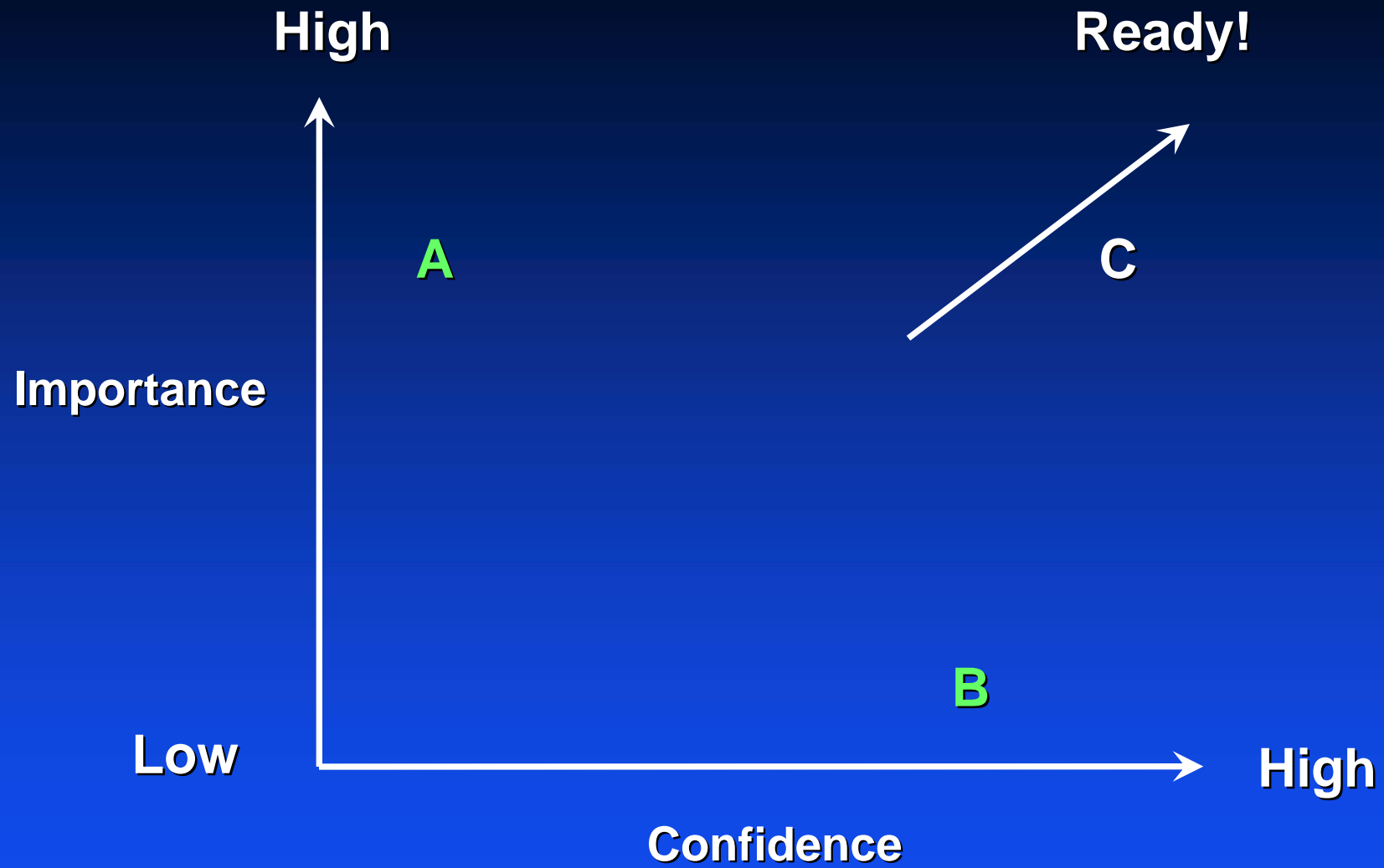
- The process of change is unique to the individual
  - It begins with experiencing a discrepancy in one's behavior and one's goals/values
  - Intrinsic motivation, Perceived self
- The process leads to ambivalence (feeling two ways about a behavior)
  - Looking at the cost - benefits of the behavior
  - This makes change possible

## **Intrinsic motivation (discrepancy)**

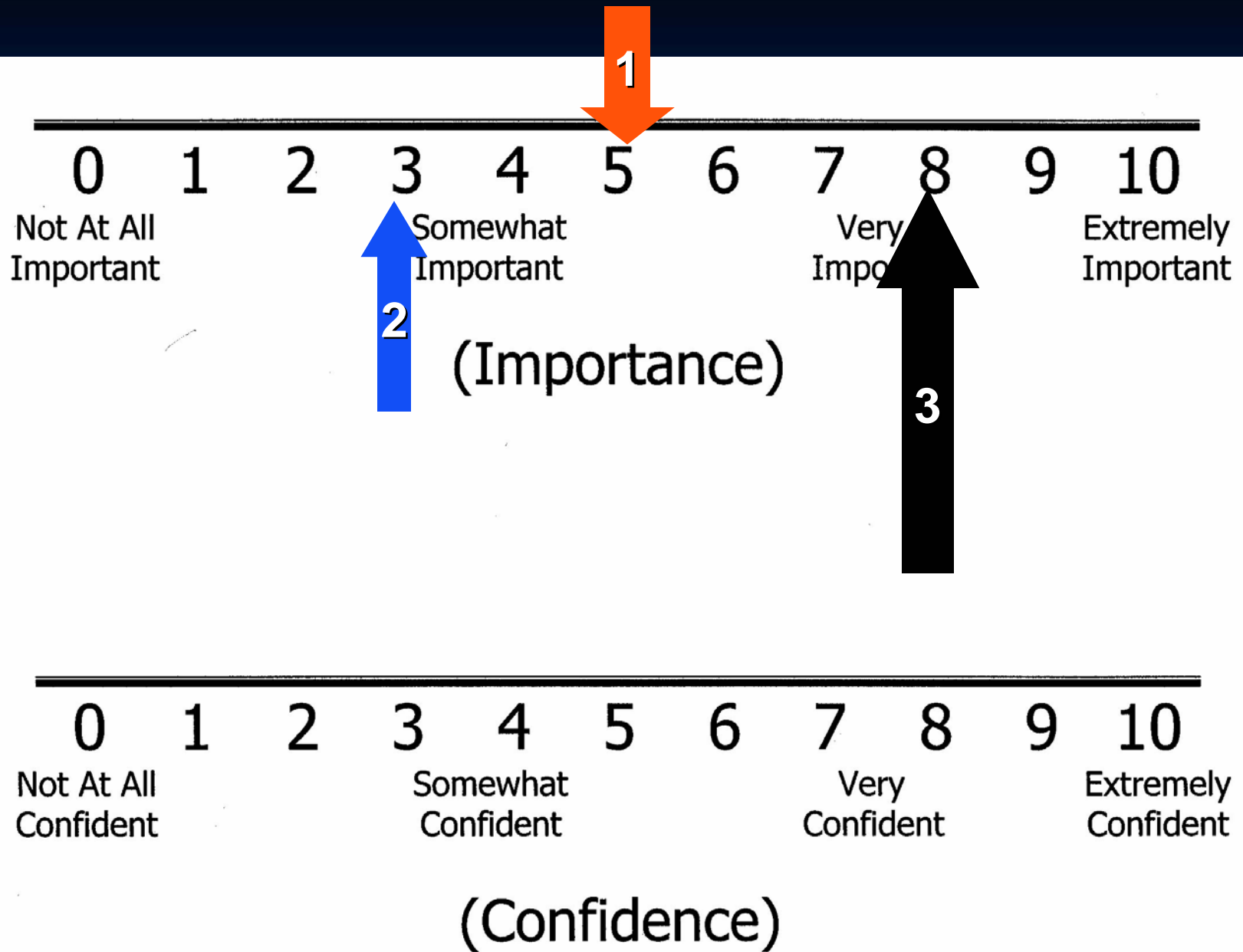
- Patient “I smoke outside, I don’t ever want my kids to smoke”
- *Does the smoking behavior match the patient’s goals or values (to be a positive role model for her children)?*
- Provider: “It sounds like being a good role model for your children and smoking don’t go together, tell me more about that...” (develop discrepancy)

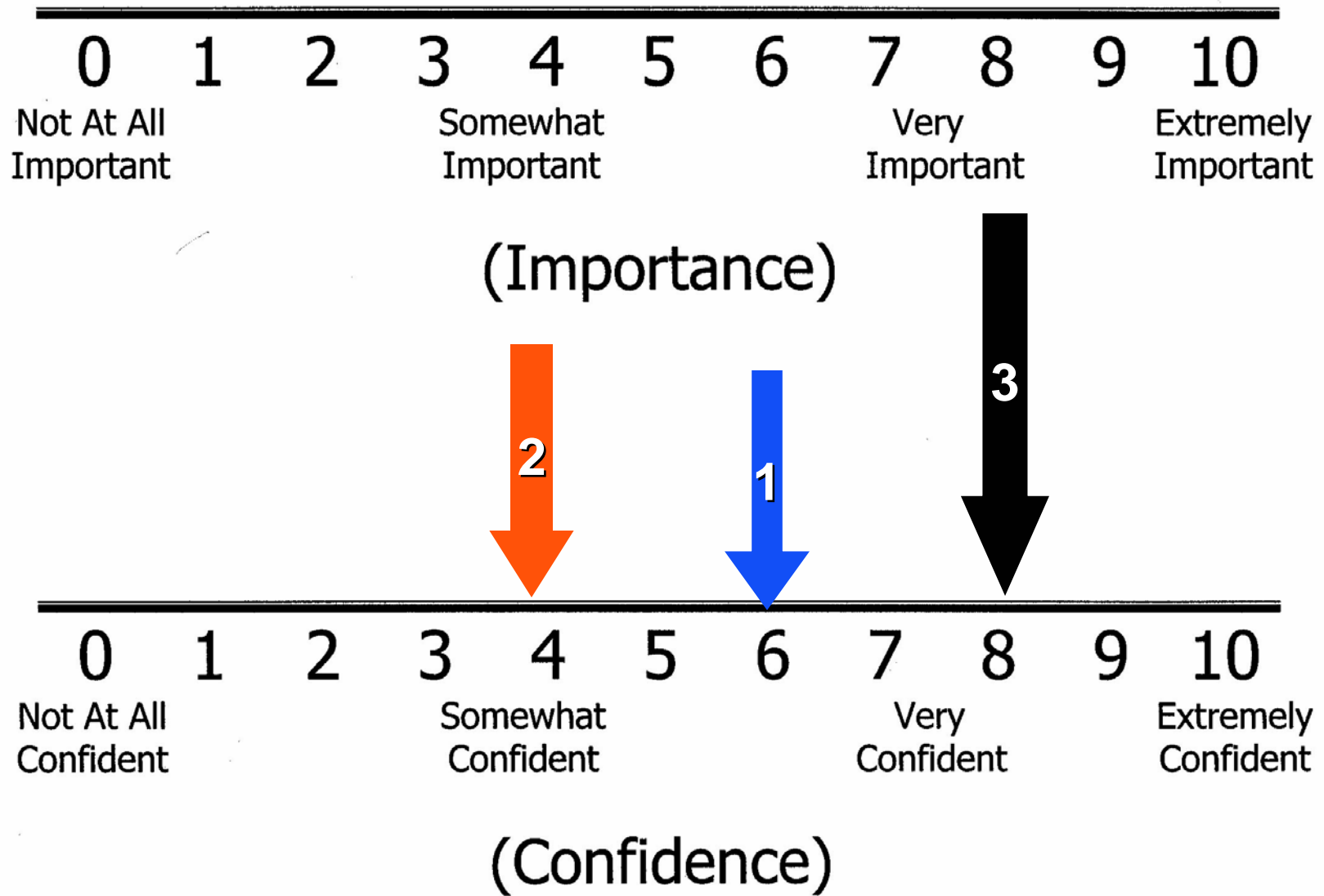
**This discrepancy underlies the  
perceived importance of change**





From: Rollnick, 1999.





# Resistive Statements

- **“I have tried everything, Doc.”**
- **“It’s the only thing I enjoy.”**
- **“I have heard all of this a million times.”**
- **“What’s the point. It looks like its too late now anyway.”**

# Rolling with Resistance

- **Minimize Resistance (Reactance Theory)**  
“People resist suggestions not because of the rational content of your suggestions but because their freedom to make decisions is being taken away.”
- **Use of Counseling Skills to:**
  - Elicit from the patient the argument in favor of change
  - Place greater emphasis on exploring the **WHY** of change to change **ATTITUDE** and **MOTIVATION**



# **We Often Make Assumptions**

- **The patient wants to change**
- **The patient ought to change**
- **Health is the prime motivating factor**
- **If the patient doesn't decide to change during this visit, I have failed**

# Resistance: Interpersonal Phenomenon

## Causes

- Take control away
- Misjudge importance, confidence or readiness
- Meet force with force, lecturing

## Strategies

- Emphasize personal choice and control
- Reassess readiness, importance and confidence
- Avoid arguing, use reflective listening

# Listen

- **L**imit your talking, use open-ended questions
- **I**nterest in what the patient says
- **S**tatements of understanding
- **T**est your hypothesis of what you think the patient means
- **E**ncourage self-exploration, elaboration
- **N**avigate towards change

# Affirm

- “It sounds like you have been trying for a long time to stop.”
- “I appreciate your honesty”
- “You have been working hard on this”
- “I appreciate your willingness to talk about your tobacco use today.”

# Exchange Information

## E-P-E

**Elicit** from the patient what they know about the condition or test result

**Provide** information that clarifies misconceptions or provides new, additional knowledge

**Elicit** from the patient what this means to them in light of new knowledge.

# Exchange Information

**“What do you know about COPD?  
About smoking and COPD?”**

**“If it is OK with you, I would like to  
share some other information that  
you might find helpful...”**

- **“Many people with these findings...”**
- **“One of my patients with similar  
problems...”**

**“What do you think about this?”**

# Responding to Resistance

- Personal Choice
- Control
- Avoid Arguing

*“I am just too stressed right now”*

*“I have cut down”*

*“I only smoke outside”*

*“I enjoy smoking and I am not going to stop”*

- Reflect
- Affirm
- Elicit More from the Patient
- Provide Information
- Elicit the Patient's Interpretation



# Summary

- **It is Important to Intervene with those who use tobacco**
- **It is sometimes difficult to intervene effectively**
- **A patient centered approach like Motivational Interviewing can improve our effectiveness**

# Managing Tobacco Dependence Effectively in 2006

- Treat it as a Chronic Disease
- Use the USPHS 2000 Guideline & “5 A’s” Model
- Facilitating Behavior Change
  - Resolving Ambivalence
  - Increasing Importance
  - Building Confidence
- Optimize Medication Use
  - Enough of it
  - For long enough
  - Often in combination
- Know, Support, Utilize Available Resources
  - Telephone Quitlines
  - Worksite and community group intervention programs
  - Individual counseling programs
  - Websites

